COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/895.886

IBM DOCKET NO. AUS920010236US1

DATE: August 15, 2005

DATE: August 15, 2005

Number of Pages to Follow (including this cover sheet) 5

RECEIVED CENTRAL FAX CENTER

SEND TO:

United States Patent Office

AUG 1 5 2005

Examiner:

Group Art Unit:

2164

Tel No:

Fax No:

571-273-8300

FROM:

Joseph T. Van Leeuwen

Van Leeuwen & Van Leeuwen Registered Patent Attorneys 6123 Pebble Garden Court

Austin, Texas 78739

Tel No:

512-301-6738

Fax No.

512-301-6742

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLRASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE, THANK YOU.

Docket No. AUS920010236US1	Serial No. 09/895,886	Atty: LAV/JVL	
Applicant: Calderaro, et al.			
X Transmittal Letter (2 copies)	X Certificate of Facsir	nile	
Amendment (pages)	Notice of Appeal		
Amendment AF	Appeal Brief (3 cop	ries)	
Ext. of Time	Reply Brief		
X IDS Statement	Change of Address		
X Form PTO-1449 (Modified)			
Other			
Deposit Acct. No. 09-0447			
Fees: Amendment Notice of Ap	peal Appeal Brief	Other \$0.00	
Atty Rof. No. 1030			

PAGE 1/5 * RCVD AT 8/15/2005 3:12:21 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/29 * DNIS:2738300 * CSID:512 301 6742 * DURATION (mm-ss):01-40

BEST AVAILABLE COPY

COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/895,886

IBM DOCKET NO. AUS920010236US1

DATE: August 15, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States

Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile
transmitted to the U.S. Paten, and Transmixt Office on the date shown below.

Joseph T. Van Leeuwen

Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Improved Personnel Compensation Planning Factors

Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 1 reference;
- X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.97(c) and:
 - x no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
 - X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

Bv

Joseph T. Van Leeuwen

Attorney for Applicant Registration No. 44,383

Telephone: (512) 301-6738 Facsimile: (512) 301-6742

Atty Ref. No. 1030

COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/895,886

IBM DOCKET NO. AUS920010236US1

DATE: August 15, 2005

RECEIVED

CENTRAL FAX CENTER

AUG 1 5 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing or Transmission I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandris, VA 22313-1450 or facsimile transmitted to the U.S. Pateni and Tradernark Office on the date shown below. Joseph T. Van Leeuwen

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

System and Method for Improved Personnel Compensation Planning Pactors

Enclosed are:

Х Information Disclosure Statement:

Х Modified form 1449 listing 1 reference;

. X copies of any non-U.S. Patent references listed on form 1449.

37 CPR § 1.97 is satisfied by the following:

X This IDS is being filed pursuant to CFR § 1.97(c) and:

> no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. BEST AVAILABLE COP

<u>X</u> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

Joséph T. Van Leeuwen Attorney for Applicant

Registration No. 44,383 Telephone: (512) 301-6738

Facsimile: (512) 301-6742

Atty Ref. No. 1030

COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/895.886

IBM DOCKET NO. AUS920010236US1

DATE: August 15, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Calderaro, et. al.

Serial No.: 09/895,886 Filed: June 29, 2001

Title: System and Method for Improved

Personnel Compensation Planning

Factors

Group Art Unit: 2164

Intellectual Property Law Department

International Business

Machines Corporation

Intellectual Property Law Dept.

11400 Burnet Road

Austin, Texas 78758

RECEIVED

INFORMATION DISCLOSURE STATEMENT

CENTRAL FAX CENTER

AUG 1 5 2005

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patchts, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

Joseph T. Van Leeuwen Van Leeuwen & Van Leeuwen

Attorneys for Applicant Registration No. 44,383

Telephone: (512) 301-6738 Facsimile: (512) 301-6742

Page 1 of 1

Afty Ref. No. 1030

In Place of FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			CATIONS FOR	Serial No. <u>09/895.886</u> Applicant: <u>Calderaro, et. al.</u> Filing Date: <u>6/29/2001</u> Group: <u>2164</u> Atty. Docket No. <u>AUS920010236US1</u>			
			U. S. PATE	ENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Classification Class / Subclass	Filing Date if	
	AA	6,487,552	Nov 2002	Lei et al.	707 / 4		
	AB			•	}		
	AC						
	AD						
	A E				}		
	AF						
	AG				}		
	AH		•				
	AI				·		
	AJ				}		
	AK						
	AL	•					
•			FOREIGN PA	TENT DOCUMENTS			
Examiner		Documen	•			Translation	
<u>Initial</u>		Number	Date	Country	Classification	Yes No	
	AM			·			
	AN						
	AO						
•	AP						
Examiner Initial	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
ANAMA.	AQ					•	
	AR			•		-	
	AS						
	AT						
	ΑU	•			·	·	
Examiner:		•		Date Considered:			
EXAMINE through cite applicant.	R: Initi	ial if reference on not in conformation	considered, whether	or not citation is in contered. Include copy of	nformance with MPE	P 609; Draw Li communication	

Page 1 of 1

Atty Ref. No. 1030